

Attorney's Docket No. 018360/218130

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Alie  
Appl. No.: 09/939,782  
Filed: 08/27/2001  
For: INTERNATIONAL CASH-ON-DELIVERY SYSTEM AND METHOD

Confirmation No.: 2833  
Group Art Unit: 3625  
Examiner: Robert M. Pond

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(d)**

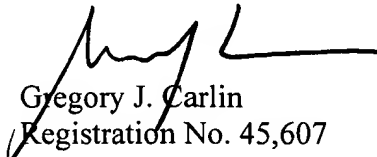
This Information Disclosure Statement is being filed after a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, but before payment of the Issue Fee. The Final Office Action or Notice of Allowance was mailed on March 31, 2005.

Attached is a list of documents on form PTO-1449 along with any cited foreign patent documents and non-patent literature documents in accordance with 37 CFR 1.98(a)(2). Also enclosed is a translation or a concise explanation of each non-English language document.

By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

A check for the \$180.00 fee specified in 37 C.F.R. § 1.17(p) is enclosed. The Commissioner is authorized to charge any additional fee, or credit any refund, to our Deposit Account No. 16-0605.

Respectfully submitted,

  
Gregory J. Carlin  
Registration No. 45,607

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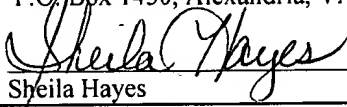
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Page 2

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Mail Stop Amendment**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 25, 2006

  
\_\_\_\_\_  
Sheila Hayes

Substitute for form 1449/PT  
(Revised 07/2005)

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

## **Complete if Known**

Application Number 09/939,782  
Filing Date 08/27/2001  
First Named Inventor Alie  
Group Art Unit 3625  
Examiner Name Robert M. Pond  
Attorney Docket Number 018360/218130

## **U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1	US-2002/0152174	10/17/2002	Woods et al.	
	2	US-2003/0101069	05/29/2003	Sando	
	3	US-5,440,634	08/08/1995	Jones et al.	
	4	US-5,666,493	09/09/1997	Wojcik et al.	
	5	US-5,878,139	03/02/1999	Rosen	
	6	US-6,076,078	06/13/2000	Camp et al.	
	7	US-6,088,797	07/11/2000	Rosen	
	8	US-6,161,121	12/12/2000	Hardy et al.	
	9	US-6,185,683	02/06/2001	Ginter et al.	
	10	US-6,205,433	03/20/2001	Boesch et al.	
	11	US-6,748,366	06/08/2004	Hurwitz et al.	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached

## **OTHER DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	English Language Translation Attached
	12	<i>UPS - Nescrow Materials Submitted in Response to Request for Information.</i>	
	13	<i>Morris Aligns with New E-Commerce Transaction Process; Morris Communications Corporation; 2 pages; available at <a href="http://www.morriscomm.com/press/sept18ntp.html">http://www.morriscomm.com/press/sept18ntp.html</a>, downloaded 09/19/2000.</i>	
	14	<i>JAMIE BECKETT; Personal Technology, Rise of the Online Middlemen, Escrow Services in Demand as Net Auction Sites Proliferate; San Francisco Chronicle, 1999; pgs. 1-4.</i>	

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO (Revised 07/2005)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/939,782
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				First Named Inventor	Alie
				Group Art Unit	3625
				Examiner Name	Robert M. Pond
Sheet	2	of	3	Attorney Docket Number	018360/218130
	15	CHERYL ROSEN; <i>Payment for Perishables: B-To-B System Guarantees It</i> ; Informationweek.com, March 5, 2001; pg. 61.			
	16	ARNAT LEEMAKDEJ; <i>Online Payment Options, Escrow system may offer best safeguards for international traders</i> ; Bangkok Post, February 2, 2001; pgs. 1-3.			
	17	<i>i-Escrow.com Adopts New Name Tradenable, Plans Rollout of Transaction Settlement Services for Businesses</i> ; Dow Jones Interactive, PR Newswire, 01/17/2001, pgs. 1-2.			
	18	<i>FrugalEscrow PLC enters The US Escrow Market Ahead Of Schedule</i> ; Dow Jones Interactive, PR Newswire, 01/03/2001, pgs. 1-2.			
	19	<i>Pitney Bowes Provides Online Escrow Services for Capital Stream</i> ; Dow Jones Interactive, PR Newswire, 01/16/2001, pgs. 1-2.			
	20	<i>Pitney Bowes To Offer Escrow Svcs For CapitalStream Users</i> ; Dow Jones Interactive, PR Newswire, 01/16/2001, pgs. 1-2.			
	21	<i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; <a href="http://www.escrowguardian.com">www.escrowguardian.com</a> ; downloaded 02/28/2001; pgs. 1-2.			
	22	<i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; Frequently Asked Questions; <a href="http://www.escrowguardian.com">www.escrowguardian.com</a> ; downloaded 02/28/2001; pgs. 1-4.			
	23	<i>Escrow Guardian – The safest and most inexpensive way to trade through online auctions</i> ; Terms of Service and Credit Card User Agreement; <a href="http://www.escrowguardian.com">www.escrowguardian.com</a> ; downloaded 02/28/2001; pgs. 1-3.			
	24	<i>Show me the money, How PayPal works</i> ; ZDNet: Business & Tech E-Commerce: How PayPal works; 3 pages, available at <a href="http://www.zdnet.com/ecommerce/stories/main/0,10475,2687755-5,00/html">http://www.zdnet.com/ecommerce/stories/main/0,10475,2687755-5,00/html</a> , downloaded on 03/19/01.			
	25	<i>Safe2Trade.com, How it Works</i> ; 2 pages, available at <a href="http://www.safe2trade.com/steps.htm">http://www.safe2trade.com/steps.htm</a> ; downloaded on 02/27/01.			
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Examiner Name	Robert M. Pond				
Sheet	3	of	3	Attorney Docket Number	018360/218130
	26	<i>i-Escrow.com adopts new name Tradenable, plans rollout of transaction settlement services for businesses; Tradenable Fearless Commerce; 2 pages, available at <a href="http://www.tradenenable.com/prerelase/011701.html">http://www.tradenenable.com/prerelase/011701.html</a>, downloaded on 2/5/01.</i>			
	27	<i>Personal Webpages; Tradenable Fearless Commerce; 2 pages, available at <a href="http://www.tradenenable.com/webpages.html">http://www.tradenenable.com/webpages.html</a>, downloaded on 2/5/01.</i>			
	28	<i>Classified Ads Sites (non-automotive); Tradenable Fearless Commerce; 1 page, available at <a href="http://www.tradenenable.com/classified.html">http://www.tradenenable.com/classified.html</a>, downloaded on 2/5/01.</i>			
	29	<i>Retail Sites; Tradenable Fearless Commerce; 1 page, available at <a href="http://www.tradenenable.com/retail.html">http://www.tradenenable.com/retail.html</a>, downloaded on 2/5/01.</i>			
	30	<i>Services; Tradenable Fearless Commerce; 2 pages, available at <a href="http://www.tradenenable.com/services_index.html">http://www.tradenenable.com/services_index.html</a>, downloaded on 2/5/01.</i>			
	31	<i>Partnerships; Tradenable Fearless Commerce; 2 pages, available at <a href="http://www.tradenenable.com/current.html">http://www.tradenenable.com/current.html</a>, downloaded on 2/5/01.</i>			
	32	<i>About Us; Tradenable Fearless Commerce; 2 pages, available at <a href="http://www.tradenenable.com/aboutus_index.html">http://www.tradenenable.com/aboutus_index.html</a>, downloaded on 2/5/01.</i>			
	33	<i>About Us, What's New, We've Changed Our Name; Tradenable Fearless Commerce; 2 pages, available at <a href="http://www.tradenenable.com/whats.html">http://www.tradenenable.com/whats.html</a>, downloaded on 2/5/01.</i>			
	34	<i>E-Commerce Success Story: iEscrow.com; E-Commerce Times: Success Stores; 9 pages, available at <a href="http://www.ecommercetimes.com/success_stories/success_iescrow.shtml">http://www.ecommercetimes.com/success_stories/success_iescrow.shtml</a>, downloaded on 1/8/01.</i>			
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